<u>California Institute for Regenerative Medicine</u> <u>Conflict of Interest Code</u>

Appendix A

Designated Positions	Disclosure Category
Independent Citizens' Oversight Committee	
Chair, Independent Citizens' Oversight Committee	<u>_</u>
Vice Chair, Independent Citizens' Oversight Committee	·
Member, ICOC	1
Executive Assistant to the Vice Chair	<u>4</u>
Office of the President	
President and CEO	<u>1</u>
Senior Executive Assistant to the President and CEO	<u>3</u>
7 7	
<u>Legal</u>	1
General Counsel	
Deputy General Counsel	1
Associate General Counsel	1
Director of Portfolio and Performance	
Vice President, Portfolio and Performance	
Contracts Administrator	
Director of Portfolio Operations and Performance	<u> </u>
Translation and Discovery	
Vice President, Translation and Discovery	1
Associate Director of Translation and Discovery	
Science Officer	
Senior Science Officer	
Grants Management Officer	2
Executive Assistant and Event Coordinator	4
<u>CIRM Business Development</u>	
Director, Business Development	
Science Officer	
Senior Science Officer	2
Application and Review	
Vice President, Application and Review	1
Associate Director, Review	
Senior Science Officer	
Program Manager	2
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Designated Positions Disclosure Category
Independent Citizens' Oversight Committee Chair, Independent Citizens' Oversight Committee Vice Chair, Independent Citizens' Oversight Committee 1
Member, Independent Citizens' Oversight Committee 1
Office of the Chair Executive Assistant to the Chair and Assistant Secretary to
the Board- 3¶ Executive Director, Independent Citizens' Oversight Committee Board1¶
Legal Counsel to the Chair 1 Deputy to the Chair-Finance, Policy and Outreach 1
Senior Director of Public Communications & Patient Advocate Outreach - 1
Executive Assistant to the Vice Chair 4¶ Administrative Assistant to the Executive
Director 4 Senior Science and Education Communications
Officer 3 Communications Manager 3 Multi-media Editor 4 Multi-media Editor 4 Multi-media Editor
Office of the President
President 1¶
General Counsel and Vice President of Business Development
Deputy General Counsel 1 1 Paralegal/Contracts Administrator 4 4
Special Projects Officer to the President
Alliance Manager 1 ¶ Business Development Officer 1 ¶
Science Office
Senior Vice President of Research and
Development———— 1¶ Executive Director, Scientific Activities————— 1¶ Associate Director-Development—————— 2¶
Associate Director-Development 2¶ Associate Director-Research 2¶ Science Officer I, II 2¶
Senior Development Officer 2¶ Medical Officer 2¶ Senior Review Officer 2¶
Senior Officer for Medical & Ethical Standards
Science Associate 2¶ Program Manager 2¶
Grants Management Officer 2 Deputy Grants Management Officer 2
Grants Management Specialist I, II——————— 2¶ Grants Review Specialist I, II——————— 2¶
Administrative Assistant 4 Grants Technical Assistant 4
Facilities Analyst 4¶ [1]

Vice President of Therapeutics and Strategic Infrastructure Senior Science Officer, Project Manager CAPS 2 Associate Director, Neuro/Ocular 2 Senior Science Officer 2 Associate Director, Blood and Cancer 2 Associate Director, Cellular Gene Therapy 2 Grants Management Specialist 2 Senior Science Officer 2 Grants Management Specialist I/II 2 Senior Officer for Medical & Ethical Standards 2 Associate Director, Therapeutics 2 Associate Director, Therapeutics & Scientific Associate Director of the Industry Alliance Program 2 Finance and Administration 2 Senior Director of Public Communications & Patient Advocate Outreach 1 Vice President, Administration
Associate Director, Neuro/Ocular 2 Senior Science Officer 2 Associate Director, Blood and Cancer 2 Associate Director, Cellular Gene Therapy 2 Grants Management Specialist 2 Senior Science Officer 2 Grants Management Specialist I/II 2 Senior Officer for Medical & Ethical Standards 2 Associate Director, Therapeutics 2 Associate Director, Therapeutics 2 Associate Director, Therapeutics & Scientific Associate Director of the Industry Alliance Program 2 Finance and Administration Senior Director of Public Communications & Patient Advocate Outreach 1 Vice President, Administration
Senior Science Officer 2 Associate Director, Blood and Cancer 2 Associate Director, Cellular Gene Therapy 2 Grants Management Specialist 2 Senior Science Officer 2 Grants Management Specialist I/II 2 Senior Officer for Medical & Ethical Standards 2 Associate Director, Therapeutics 2 Associate Director, Therapeutics 3 Associate Director, Therapeutics & Scientific Associate Director of the Industry Alliance Program 2 Finance and Administration Senior Director of Public Communications & Patient Advocate Outreach 1 Vice President, Administration
Associate Director, Blood and Cancer Associate Director, Cellular Gene Therapy Grants Management Specialist Senior Science Officer Grants Management Specialist I/II Senior Officer for Medical & Ethical Standards Associate Director, Therapeutics Associate Director, Therapeutics & Scientific Associate Director of the Industry Alliance Program Finance and Administration Senior Director of Public Communications & Patient Advocate Outreach Vice President, Administration
Associate Director, Cellular Gene Therapy Grants Management Specialist Senior Science Officer Grants Management Specialist I/II Senior Officer for Medical & Ethical Standards Associate Director, Therapeutics Associate Director, Therapeutics & Scientific Associate Director of the Industry Alliance Program Program Senior Director of Public Communications & Patient Advocate Outreach Vice President, Administration
Grants Management Specialist 2 Senior Science Officer 2 Grants Management Specialist I/II 2 Senior Officer for Medical & Ethical Standards 2 Associate Director, Therapeutics 2 Associate Director, Therapeutics & Scientific Associate Director of the Industry Alliance Program 2 Finance and Administration Senior Director of Public Communications & Patient Advocate Outreach 1 Vice President, Administration
Grants Management Specialist 2 Senior Science Officer 2 Grants Management Specialist I/II 2 Senior Officer for Medical & Ethical Standards 2 Associate Director, Therapeutics 2 Associate Director, Therapeutics & Scientific Associate Director of the Industry Alliance Program 2 Finance and Administration Senior Director of Public Communications & Patient Advocate Outreach 1 Vice President, Administration
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Program 2 Finance and Administration Senior Director of Public Communications & Patient Advocate Outreach 1 Vice President, Administration
Program 2 Finance and Administration Senior Director of Public Communications & Patient Advocate Outreach 1 Vice President, Administration
Senior Director of Public Communications & Patient Advocate Outreach Vice President, Administration
Senior Director of Public Communications & Patient Advocate Outreach Vice President, Administration
Vice President, Administration
Executive Assistant and Event Coordinator 3
IT Director 4
Web Site and Social Media Manager 3
Lead Architect (IT) 4
Systems Engineer 4
Senior Software Engineer IT 4
Contractor Computer Programmer 3
Multi-Media Editor 4
Human Resources Officer 3
Associate Director of Human Resources 3
Vice President, Finance 1
Supervising Financial Services Officer 3
<u>Financial Services Officer</u> 3
Office Manager 3
Associate Director, Communications 3
Office Manager, Accounting Specialist 3
Consultants/New Positions *

*With respect to consultants/new positions, the agency President may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus, is not required to comply fully with the disclosure requirements described in this section. Such determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The agency President's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Government Code Section 81008.)

Appendix A

Designated Positions	Disclosure Category	
Independent Citizens' Oversight Committee	1	
Chair, Independent Citizens' Oversight Committee	1	
Vice Chair, Independent Citizens' Oversight Committee	1	
Member, Independent Citizens' Oversight Committee	1	
Office of the Chair	D 1 .	
Executive Assistant to the Chair and Assistant Secretary to the Executive Director, Independent Citizens' Oversight Commit		
Legal Counsel to the Chair		1
Deputy to the Chair-Finance, Policy and Outreach		1
Senior Director of Public Communications & Patient Advoca	ate Outreach	1
Executive Assistant to the Vice Chair		4
Administrative Assistant to the Executive Director		4
Senior Science and Education Communications Officer		3
Communications Manager		3 4
Multi-media Editor		4
Office of the President		
President		1
General Counsel and Vice President of Business Developmen	nt	1
Deputy General Counsel		1
Paralegal/Contracts Administrator		4
Special Projects Officer to the President	1	
Senior Executive Assistant to the President		3
Administrative Assistant to the General Counsel		4
Alliance Manager		1
Business Development Officer		1
Science Office		
Senior Vice President of Research and Development		1
Executive Director, Scientific Activities		1
Associate Director-Development		2
Associate Director-Research		
Science Officer I, II		2 2
Senior Development Officer		2
Medical Officer		2 2 2
Senior Review Officer		2
Senior Officer for Medical & Ethical Standards		2
Science Associate		2
Deletion / Industria		4

Program Manager	2
Grants Management Officer	2
Deputy Grants Management Officer	2
Grants Management Specialist I, II	2
Grants Review Specialist I, II	2
Administrative Assistant	4
Grants Technical Assistant	4
Facilities Analyst	4
Finance and Administration	
Chief Financial Officer	1
Director of Finance	2
Finance Officer	3
Financial Services Officer	3
Chief Human Resources Officer	3
Office Manager	3
Administrative Coordinator/Senior Administrative Coordinator	3
Lead Architect (IT)	4
IT Director	Δ

Consultants/New Positions

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